# IN THE UNITED STATES DISTRICT COURT FOR THE DISTRICT OF NEW MEXICO

DONNA J. HILL, On Behalf of Herself and All Others Similarly Situated or, Alternatively, on Behalf of the New Mexico Educational Retirement Fund and its Members and Beneficiaries,

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<b>7.</b> ■	No.	

VANDERBILT CAPITAL ADVISORS, LLC, VANDERBILT FINANCIAL, LLC, VANDERBILT FINANCIAL TRUST, PIONEER INVESTMENT MANAGEMENT U.S.A., INC., BRUCE MALOTT, GARY BLAND, VERONICA GARCIA, DOUGLAS M. BROWN, PATRICK A LIVNEY, OSBERT M. HOOD, STEPHEN C. BERNHARDT, KURT W. FLORIAN, JR., ANTHONY J. KOENIG, JR., MARK E. BRADLEY, RON D. KESSINGER, ROBERT P. NAULT, JAMES R. STERN, NEW ENGLAND PENSION CONSULTANTS, LLC, ALDUS EQUITY, SAUL MEYER, JOHN DOE #1, JOHN DOE #2, and DOES 3-100, inclusive,

Defendants,

and

THE NEW MEXICO EDUCATIONAL RETIREMENT FUND,

Nominal Defendant.

#### **NOTICE OF REMOVAL**

Defendant Veronica Garcia, Secretary of the New Mexico Public Education Department, through her undersigned counsel states:

1. Pursuant to 28 U.S.C. §§ 1441, 1443, 1453(b) and 1446, Defendant Garcia herein exercises her right, with the consent of all served Defendants, to remove this action from the

First Judicial District, County of Santa Fe, State of New Mexico, where the case is now pending by the name and style of *Donna J. Hill v. Vanderbilt Capital Advisors, LLC, et al.*, First Judicial District Court No. D-101-CV-201000060. Plaintiff's action arises, in part, out of alleged violations of the Fifth and Fourteenth Amendments to the United States Constitution and purports to be a class action as defined by 28 U.S.C. § 1453.

- 2. This Court has original jurisdiction as provided in 28 U.S.C. § 1331 in that the cause arises under the United States Constitution.
- 3. This Court has original jurisdiction as provided in 28 U.S.C. § 1332(d)(2) as the cause purports to be a class action claim.
- 4. On February 5, 2010, Plaintiff served Defendant Garcia. On January 14, 2010, Plaintiff served Defendant, Pioneer Investment Management U.S.A., Inc ("Pioneer"). On information and belief, Pioneer was the first Defendant served in this matter. As of February 12, 2010, no service on the Defendant Educational Retirement Board Trustee Defendants, including Secretary Garcia, Bruce Malott, Gary Bland and Douglas M. Brown has been perfected. As of this date, Plaintiff has served Defendants Vanderbilt Capital Advisors<sup>1</sup>, Patrick A. Livney, Osbert M. Hood, Stephen C. Bernhardt, Kurt W. Florian, Jr., Anthony Koenig, Jr., Mark E. Bradley, Ron D. Kessinger, Robert P. Nault, James R. Stern, and New England Pension Consultants, LLC.
- 5. On information and belief, Aldus Equity has been served, though the date of service is unknown. It is also unknown whether service on Aldus Equity was proper pursuant to applicable rules.

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<sup>&</sup>lt;sup>1</sup> Vanderbilt Financial, LLC and Vanderbilt Financial Trust are entities which no longer exist as they have been dissolved.

This notice of removal is filed within 30 days of incomplete service<sup>2</sup> on 6. Defendant Garcia, in accordance with the requirements of 28 U.S.C. § 1446 and within 30 days

of service on the first served Defendant, Pioneer.

7. Pursuant to the provisions of 28 U.S.C. § 1446, Defendant attaches and

incorporates by reference copies of the following pleadings filed in this action:

a. Complaint for Damages (Exhibit A);

b. Summons issued to Defendant Veronica Garcia (Exhibit B).

8. Defendant Garcia and the other served Defendants who have consented below,

desire and are entitled to have this cause removed from the First Judicial District, County of

Santa Fe, State of New Mexico. Defendant Garcia does not waive any jurisdictional or other

defense she may be entitled to by filing this Notice of Removal.

9. Written notice of the filing of this Notice is being sent contemporaneously to

counsel for Plaintiff as required by law.

10. A true and accurate copy of this notice will be filed with the clerk of the First

Judicial District Court, County of Santa Fe, State of New Mexico, as provide by law.

WHEREFORE, Defendant Garcia prays that this action be removed to Federal Court, that

this Court accept jurisdiction and that the action be placed on the docket of this Court for further

proceedings, the same as though this action had been initiated in this Court.

Respectfully submitted,

HINKLE, HENSLEY, SHANOR & MARTIN, LLP

/s/ Ellen S. Casey

Jaclyn M. McLean

<sup>2</sup> New Mexico Rule of Civil Procedure 1-004(H)(c) requires that service may only be perfected on a state official by personal service on the state official and on the Attorney General.

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Post Office Box 2068

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Counsel for Secretary Veronica Garcia

#### **CERTIFICATE OF SERVICE**

I hereby certify that on this 12th day of February 2010, I caused a true and correct copy of the foregoing *Notice of Removal* to be filed electronically through the CM/ECF system, and mailed by first class mail to the following parties or counsel:

#### **Counsel for Plaintiff**

Gordon H. Rowe III The Rowe Law Firm 1200 Pennsylvania NE, Suite 2B Albuquerque, NM 87110

Jonathan Cuneo Cuneo, Gilbert & LaDuca, LLP 507 C Street NE Washington, DC 20002

Richard Greenfield Greenfield & Goodman, LLC 250 Hudson Street, 8<sup>th</sup> Floor New York, NY 10013

#### **Counsel for Defendant Gary Bland**

Steve Hamilton Montgomery & Andrews 325 Paseo de Peralta Santa Fe, NM 87501

#### **Counsel for Defendant New England**

**Pension Consultants, LLC** 

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Roberto Braceras Jennifer Chunias **Counsel for Defendants Vanderbilt Capital** 

Advisors, LLC, Pioneer Investment
Management U.S.A., Inc., Osbert M. Hood,
Stephen C. Bernhardt, Kurt W. Florian, Jr.,
Anthony J. Koenig, Jr., Mark E. Bradley,
Ron D. Kessinger, Robert P. Nault, and
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### **Counsel for Defendant Bruce Malott**

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#### **Counsel for Defendant Patrick Livney**

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## **Counsel for Defendant Aldus Equity**

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# **Counsel for Defendant Douglas Brown**

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/s/ Ellen S. Casey